



HARNESS RACING VICTORIA

## **HRV LICENSED DRIVERS BETTING POLICY AND PROCEDURE**

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GM Integrity General Counsel		4/10/2021	
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## 1. PURPOSE

The purpose of this policy is to provide information and resources to Harness Racing Victoria (HRV) employees and Industry personnel to deliver high levels of integrity and professionalism and safeguard the reputation of the Victorian harness racing industry in relation to betting-related matters.

## 2. SCOPE

This policy applies to all licensed drivers and all riding participants in Monte events. This Policy accords with the 'Single Code of Practice - Part B' developed by the Racing Integrity Commissioner as the accepted standard for such a Policy.

## 3. DEFINITIONS

For the purposes of this Policy:

**'Approved Wagering Service Provider (WSP)'** has the formal approval of Harness Racing Victoria to publish race fields and take bets on harness racing events. (Approved WSP's are listed on [hrv.org.au](http://hrv.org.au)).

**'Bet'** is given its usual meaning and includes:

- making either directly or indirectly a monetary investment on the outcome of a race.
- betting via any channel (face to face, internet, phone and all other means);
- betting via any bet type (including 'lay' betting, head to head, margin and all other means);
- placing a bet or having a bet placed on the person's behalf or placing a bet in another's person's account;
- betting by a syndicate of which a person is a member.

**'Credit bet'** means a bet where payment for the bet is not made by the bettor at the time of placing the bet but is settled at a later time.

**'Driver'** includes all categories of licensed drivers and any reference to driver also includes all riding participants in Monte events.

**'the Rules'** refers to the Australian Harness Racing Rules (AHRR), the Victorian Local Rules (VLR) and all policies and regulations of HRV or Harness Racing Australia (HRA) that relate or are relevant to betting.

**VLR** refers specifically to the Victorian Local Rules.

## 4. RESPONSIBILITIES

Licensed Drivers should familiarise themselves with this procedure and ensure that they exercise appropriate due diligence in carrying out their required responsibilities. Licensed drivers should not undertake nor engage in actions that could jeopardise public trust or confidence in the Victorian harness racing industry and follow the rules outlined in this procedure in accordance with AHRR.

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## 5. PROCEDURE

The success of the victorian harness racing Industry which is largely funded by wagering, or betting, on the harness racing product. is dependent on the highest levels of integrity and professionalism being consistently demonstrated by those working in the industry. It is therefore imperative that any impropriety or perception thereof is avoided and there are no actions, such as participants being in breach of betting related 'rules' including but not limited to the betting on harness racing events in which the person is a participant.

The financial viability of the victorian harness racing industry is dependent on public confidence and, in turn, contributions by wagering agencies. This financial contribution may be threatened by any perception of manipulation of the outcome of any race, any conflict of interest or any other improper relationship or conduct. It is therefore imperative that conduct of this type involving drivers is avoided.

### 5.1. Rules

This Betting Policy is to be read and understood in conjunction with the relevant AHRR which provide:

- 173 (1) A driver shall not bet in a race in which the driver participates
- (2) A driver engaged to drive at a meeting shall not enter the betting area of the racecourse during the period commencing 60 minutes before the time fixed for the first race and finishing at the completion of the driver's engagements at the meeting.
- (3) For the purposes of this rule, betting area means those areas of a racecourse where betting with an approved wagering operator is conducted.
- (4) A driver or the trainer of a horse shall not authorise, enable, permit or allow another person to place a bet on a betting account of the driver or the trainer.
- (5) A driver or trainer shall not place or have an interest in a bet on any betting account other than an account registered in their own name
- (6) Any person who fails to comply with any provision of this rule is guilty of an offence.
- 234 A person shall not lay or accept an illegal bet.
- 235 A person who believes that another person is likely to participate in or be connected with illegal betting shall not communicate with that other person in connection with such betting.
- 235A (1) A trainer must not lay any horse that is either under his care, control or supervision or has been in the preceding 21 days.
- (2) Any person employed by a trainer in connection with the training or care of horses must not lay a horse under the control of the trainer for whom he is or was employed, while

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so employed and for a period of 21 days thereafter.

(3) An agent or manager must not lay any horse to be driven by a driver for whom he is agent or manager.

(4) The connections must not lay any horse that is or may be entered by them or on their behalf, save that a bookmaker may lay a horse in accordance with his licence.

(5) Where under sub-rules (1),(2),(3) and (4) it is an offence for a person to lay a horse, it shall also be an offence for that person to:

(a) have a horse laid on his behalf;

(b) receive any monies or other valuable consideration in any way connected with the laying of the horse by another person.

(6) For the purposes of this rule “lay” means the offering or the placing of a bet on a horse:

(a) to lose a race;

(b) to be beaten by any other runner or runners;

(c) to be beaten by any margin or range of margins;

(d) that a horse will not be placed in a race in accordance with the provisions of Rule 49.

### 5.2. Betting Prohibitions and Restrictions

The prohibitions or restrictions on betting which apply to licensed drivers and Monte riders are summarised in the table below.

Position	Betting on any harness racing event where participating as driver/rider  (1)	Bets over \$100 on harness racing events in Australia (When not participating) (2)	Betting on another person's account or allowing other person to bet on drivers' own account (3)	Betting with a non – approved WSP  (4)	Credit Betting  (5)
Drivers (Including Monte Riders)		Must be placed on nominated/ declared betting accounts			

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### *Explanatory Notes re Table*

- (1) As per the provisions of AHRR 173, drivers and Monte riders are not permitted at any time to engage in any betting activity on any harness racing event in which they are participating as a driver or rider.
- (2) Any bets by a driver or rider totalling more than \$100 on harness racing event in Australia (i.e., when person is permitted to bet by virtue of not participating) must be placed on nominated/declared betting accounts.
- (3) As per the provisions of AHRR 173, drivers and Monte riders are not permitted to permit another person to place a bet on the betting account of the driver/rider and are also not permitted to place a bet on any betting account other than their own.
- (4) Drivers/Riders are not permitted to place any bet with an operator other than an Approved Wagering Service Provider (refer definitions).
- (5) Betting on credit by drivers/riders is not permitted at any time.

As per the rules listed in Section 4, in addition to the above table, further rules relevant to betting exist: For example, any person (including drivers/riders) is not permitted to lay horses of a trainer when employed by a trainer in connection with training or care of such horses or within 21 days of having been so employed. Drivers/Riders are also not permitted to be in the betting area of a racecourse.

### **5.3. Public Trust**

In addition to the above specific and various prohibitions highlighted in the table, it is also expected that a person will take a common sense approach to avoid, where possible, situations that give rise to a perception that they may be betting in breach of that prohibition or restriction. It is important to be aware at all times of how other people might perceive certain situations, as perception can be almost as important as reality when it comes to the integrity of racing.

### **5.4. Declarations**

On an annual basis, ORG-FO-003 Betting Account Declaration Form must be completed by all persons to whom this Policy applies. Such declaration will also include an acknowledgement to update (by way of providing a further declaration as soon as practicable) any changes to the information provided in a betting declaration.

HRV may require additional licensed or registered persons to complete an annual betting declaration.

### **5.5. Betting Account Register**

Harness Racing Victoria will keep and maintain a betting account register which will record:

- a. Whether each person required to complete betting account declaration has

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completed one;

- b. Particulars of all declared betting accounts for each person required to declare abetting account;
- c. Particulars of verification of declared betting accounts;

### 5.6. Monitoring and Audit

People covered by this Policy should also be aware that HRV will run regular checks with Wagering Service Providers in Australia and abroad to ensure that they are not breaching this Betting Policy or the AHRR. These checks are performed in accordance with agreements HRV has in place with Wagering Service Providers under the Victorian race fields legislation.

Harness Racing Victoria will carry out an annual review of betting account declarations and betting.

### 5.7. Breach of this Betting Policy

If a person covered by this Policy breaches this Betting Policy, HRV will take appropriate action under the AAHRR which could include the removal of the privilege of holding a licence to participate in the industry.

## 6. RECORDS

Documents used to manage the Betting Policy and Procedure will be produced in a format that allows tracking for verification and review purposes. Where records are produced, they will be stored in accordance with QMS-PR-001 Records Management Procedure.

## 7. REVIEW

This procedure will be subject to a planned review by People and Culture Business Partner, General Manager Racing and Wagering, General Manager Integrity and General Counsel in accordance with the requirements outlined in QMS-PR-002 Document Control Procedure.

Other methods for reviewing and evaluating the performance of this procedure will include:

- Inspection and audit activity;
- Review of corrective actions;
- Incident investigations root cause analysis;
- Outcomes from performance reports identifying opportunity for improvement.

The outcome of any review will form part of the management review activity and any identified deficiencies will be managed in accordance with corrective action requirements.

## 8. RELATED SYSTEM DOCUMENTS

Access to any of the references below shall be via the HRV intranet, manager or supervisor in accordance with the Document Control Procedure.

### Related Documents:

ORG-FO-003 Betting Account Declaration Form



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### **9. REFERENCES**

AHRR 173, 234, 235, 235AInte

### **10. AUDITABLE OUTPUTS**

The following examples of records will be used to verify implementation of this procedure:

Betting Declaration Register;

ORG-FO-003 Betting Account declaration form;

Management/Board reports;

Incident investigations;

Management plans/reviews;

Meeting minutes;